

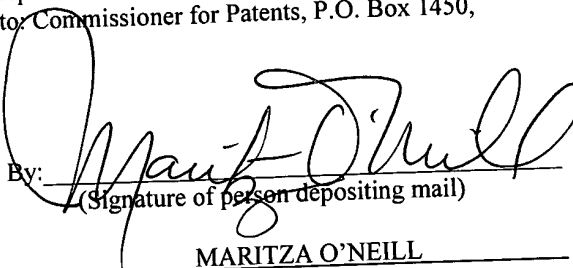


11-05-03

**EXPRESS MAIL CERTIFICATE: EL988554678US**

I hereby certify that this correspondence listed below is being deposited with the United States Postal Service on the date set forth below as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature  
and Deposit: November 4, 2003

By:   
(Signature of person depositing mail)  
MARITZA O'NEILL

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10**

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE  
TISSUE WITH FLEXIBLE REGION TO  
ACCOMMODATE MICROMOVEMENT*

Examiner: Unknown

Art Unit: Unknown

Attorney Docket No.: 130588.00045

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Type of Filing:**

- 1) Information Disclosure Statement
- 2) Form PTO-1449 (2 pages)
- 3) Cited Reference (1)
- 4) Return postcard



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: He *et al.*

Serial No.: 10/623,821

Filed: July 21, 2003

For: *ELECTRODE FOR IMPLANT IN LIVE  
TISSUE WITH FLEXIBLE REGION TO  
ACCOMMODATE MICROMOVEMENT*

Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action.

It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

No fee is believed due with this mailing. The Commissioner is hereby authorized to charge any cost that may be due to Deposit Account No. 17-0055.

Respectfully submitted,  
**QUARLES & BRADY STREICH LANG, LLP**

Dated: November 3, 2003

By: Robert D. Atkins

Robert D. Atkins, Reg. No. 34,288

Attorney Docket No. 130588.00045  
**Address all correspondence to:**  
Robert D. Atkins  
Quarles & Brady Streich Lang LLP  
One Renaissance Square  
Two North Central Avenue  
Phoenix, AZ 85004  
Telephone: 602-229-5311  
Facsimile: 602-229-5361  
Email: ratkins@quarles.com





U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/623,821
Filing Date	July 21, 2003
First Named Inventor	Jiping He
Art Unit	
Examiner Name	
Attorney Docket Number	130588.00045

Sheet 2 of 2

### OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	Bai et al., "A High-Yield Microassembly Structure for Three-Dimensional Microelectrode Arrays", IEEE Trans. Biomed. Engin., 47(3):281-289, 2000	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.